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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** 

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Complete if Known					
Application Number	10/710,618				
Filing Date	7/25/2004				
First Named Inventor	Chien-Li Hung				
Examiner Name					
Art Unit					
Attorney Docket No.	LITP0041USA				

METHOD OF PAYMENT (check all that apply)  FEE CALCULATION (continued)						
Check Credit card Money Other None	3. A	DDIT	ONA	L FEE	S	
Deposit Account:	<u>Large</u>	Entity	Small	Entity		
Deposit Account 50-3105	Fee Code		Fee Code	Fee (\$)	Fee Description	Fee Paid
Number	1051	130	2051	65	Surcharge - late filing fee or oath	
Deposit Account Name Name North America Intellectual Property Corp.	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
The Director is authorized to: (check all that apply)	1053	130	1053	130	Non-English specification	
Charge fee(s) indicated below Credit any overpayments	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge any additional fee(s) or any underpayment of fee(s)	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month	
1. BASIC FILING FEE	1252	420	2252	210	Extension for reply within second month	
Large Entity Small Entity	1253	950	2253	475	Extension for reply within third month	
Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	1254	1,480	2254	740	Extension for reply within fourth month	
1001 770 2001 385 Utility filing fee	1255	2,010	2255	1,005	Extension for reply within fifth month	
1002 340 2002 170 Design filing fee	1401	330	2401	165	Notice of Appeal	_
1003 530 2003 265 Plant filing fee	1402	330	2402		Filing a brief in support of an appeal	
1004 770 2004 385 Reissue filing fee	1403	290	2403		Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 0.00	1452	110	2452		Petition to revive - unavoidable	
· · · · · · · · · · · · · · · · · · ·	1453	1,330	2453	665	Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,330	2501	665	Utility issue fee (or reissue)	
Extra Claims below Fee Paid	1502	480	2502		Design issue fee	
Total Claims20** = X = X =	1503	640	2503	320	Plant issue fee	
Claims — - 3" = — ^ =	1460	130	1460	- 130	Petitions to the Commissioner	
Multiple Dependent =	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Large Entity   Small Entity Fee Fee Fee Fee Description	1806	180	1806	180	Submission of Information Disclosure Stmt	
Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$)	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1202 18 2202 9 Claims in excess of 20	1809	770	2809		Filing a submission after final rejection	
1201 86 2201 43 Independent claims in excess of 3	1000		2000	000	(37 CFR 1.129(a))	
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1204 86 2204 43 ** Reissue independent claims over original patent	1801	770	2801	385		
1205 18 2205 9 ** Reissue claims in excess of 20	1802	900	1802	900	Request for expedited examination	[]
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**or number previously paid, if greater; For Reissues, see above		~ U		ıg i c	subtotal (3) (\$) 0.00	
SUBMITTED BY					(Complete (if applicable))	
Name (Print/Tune) Mineton Hou	R	egistrat	ion No.	11 6	Tolophone 996290227250	

SUBMITTED BY					(Ce	omplete (if applicat	ole))
Name (Print/Type)	Winston Hsu			istration No. 41,	526 <i>Te</i>	lephone 88628	9237350
Signature		VII	Mos	1 Ha	ll Da	te S	2/200
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PTO/SB/02B (11-00)

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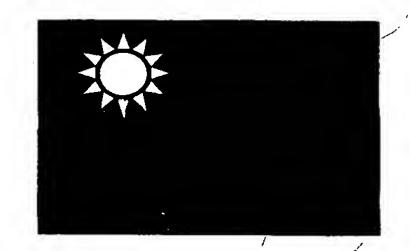
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# **DECLARATION --- Supplemental Priority Data Sheet**

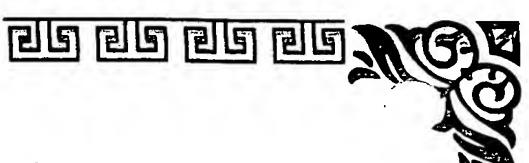
Additional foreign app	Additional foreign applications:					
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES NO		
092121923	Taiwan R.O.C	08/08/2003				
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# والع والع



# 中華民國經濟部智慧財產局

INTELLECTUAL PROPERTY OFFICE MINISTRY OF ECONOMIC AFFAIRS REPUBLIC OF CHINA

兹證明所附文件,係本局存檔中原申請案的副本,正確無訛,

其申請資料如下

This is to certify that annexed is a true copy from the records of this office of the application as originally filed which is identified hereunder:

: 西元 2003 年 08 月 08 日

Application Date

092121923

Application No.

人: 建興電子科技股份有限公司

Applicant(s)

CERTIFIED COPY OF PRIORITY DOCUMENT

Director General

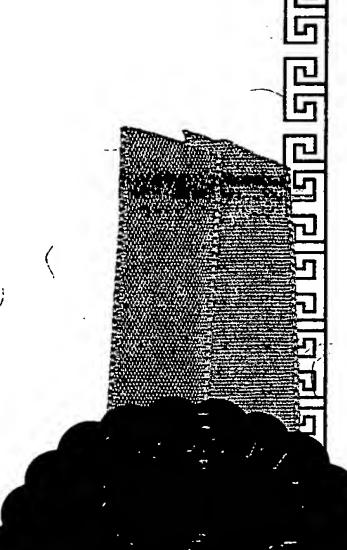
發文日期: 西元 2003年 10 月

Issue Date

09221007380

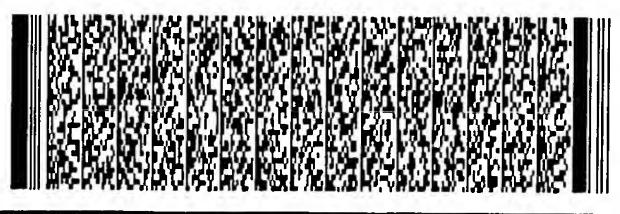
發文字號: Serial No.





申請日期:	IPC分類	. ,	
申請案號:			

(以上各欄	由本局填記	發明專利說明書
	中文	光學讀寫頭溫度偵測保護方法
發明名稱	英 文	Method for Protecting Pick Up Head from Temperature Variation
	姓 名 (中文)	1. 洪建豊
	姓 名 (英文)	1. Hung Chien-Li
發明人 (共3人)	國籍(中英文)	1. 中華民國 TW
		1. 新竹市科學園區力行路12號5樓
	住居所(英文)	1.5F, No. 12, Li-Hsin Road, Science-Based Industrial Park, Hsinchu 300, Taiwan R.O.C.
	名稱或 姓 名 (中文)	1. 建興電子科技股份有限公司
·	名稱或 姓 名 (英文)	1.LiteON IT Corporation
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申請人 (共1人)	住居所 (營業所) (中 文)	1. 新竹市科學園區力行路12號5樓 (本地址與前向貴局申請者不同)
	(營業所) (英 文)	1.5F, No.12, Li-Hsin Road, Science-Based Industrial Park, Hsinchu 300, Taiwan R.O.C.
	代表人 (中文)	1. 宋恭源
	代表人 (英文)	1. Raymond Soong



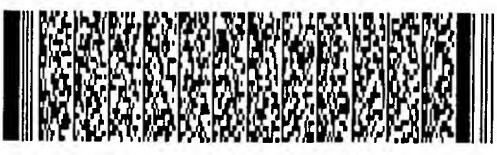
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申請案號:	

(以上各欄	由本局填言	發明專利說明書
	中文	
發明名稱	英 文	
	姓 名 (中文)	2. 張世宏
	(英文)	2. Chang Shih-Hung
發明人 (±3人)	國 籍 (中英文)	2. 中華民國 TW
	住居所(中文)	2. 新竹市科學園區力行路12號5樓
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	名稱或 姓 名 (英文)	
三申請人	國 籍 (中英文)	
申請人(共1人)	住居所 (營業所) (中 文)	
	住居所 (營業所) (英 文)	
	代表人(中文)	
·	代表人(英文)	



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(以上各欄	由本局填	發明專利說明書
	中文	
發明名稱	英 文	
•	姓 名 (中文)	3. 魏道炎
	(英文)	3. Wei Tao-Yen
發明人 ( <u></u> 43人)	國籍(中英文)	3. 中華民國 TW
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	住居所(英文)	3.5F, No.12, Li-Hsin Road, Science-Based Industrial Park, Hsinchu 300, Taiwan R.O.C.
	名稱或 姓 名 (中文)	
	名稱或 姓 名 (英文)	
= ,	國籍(中英文)	
申請人(共1人)	住居所 (營業所) (中 文)	
	住居所 (營業所) (英 文)	
	代表人 (中文)	
	代表人(英文)	



#### 四、中文發明摘要 (發明名稱:光學讀寫頭溫度偵測保護方法)

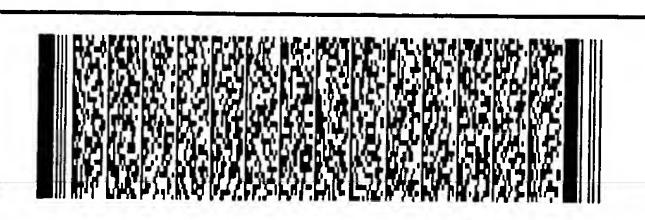
本發明為一種光學讀寫頭溫度偵測保護方法,其利用熱感測裝置持續監視光學讀寫頭的溫度(T),並在光學讀寫頭的溫度(T)大於一第一設定溫度時,藉由降低光碟機主軸馬達的轉速,來降低光碟機讀寫或存取速度,因此,功率驅動電路(Power Driver)的輸出電流與驅動致動器(Actuator)的伺服器增益(Server Gain)皆會降低,並有效地降低光學讀寫頭的溫度(T)之目的。

伍、本案代表圖為

- (一)、本案代表圖為第\_\_1\_\_ 圖
- (二)、本案代表圖之元件代表符號簡單說明:
- 10主軸馬達高速旋轉
- 20 主軸馬達低速旋轉
- 30關閉光碟機並回覆失敗訊息

六、英文發明摘要 (發明名稱:Method for Protecting Pick Up Head from Temperature Variation)

The present invention discloses a method of protecting pick up head from temperature variation. The invention uses a thermistor to detect the ambient temperature (T) of pick up head. When the temperature (T) is higher than a first predetermined temperature (T1), decrease the rotation speed of the spindle of the drive for decreasing the heat generating from the power





四、中文發明摘要 (發明名稱:光學讀寫頭溫度偵測保護方法)

六、英文發明摘要 (發明名稱:Method for Protecting Pick Up Head from Temperature Variation)

driver and actuator. In this way, the pick up head can be protected from burning down.



一、本案已向			
國家(地區)申請專利	申請日期	案 號	主張專利法第二十四條第一項優先權
		無	
二、□主張專利法第二十	五條之一第一項優	先權:	
申請案號:		l-	
日期:		無	
三、主張本案係符合專利	法第二十條第一項	□第一款但書或	〔□第二款但書規定之期間
日期:			
四、□有關微生物已寄存	於國外:		
寄存國家:		無	
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□熟習該項技術者易力	於獲得,不須寄存。		

#### 五、發明說明 (1)

# 【發明所屬之技術領域】

本發明是有關於光碟機的光學讀寫頭保護,且特別是有關於光學讀寫頭溫度偵測保護方法。

# 【先前技術】

光學讀寫頭(Optical Pick Up,OPU)上的雷射二極體係作為讀寫光碟片之光源。當光碟機在動作時,會有控制電流流經光學讀寫頭上的致動器(Actuator),使得致動器上的線圈(Coil)產生磁力,因而可控制透鏡移動達成聚焦以及循軌的功能。

一般來說,光碟機在操作時,流經致動器(Actuator)的控制電流功率驅動電路(Power Driver)係導致光碟機溫度升高的主因。當光碟機主軸馬達(Spindle Motor)在高速運轉之下,功率驅動電路必須提供較大的電流來驅動主軸馬達,另一面伺服增益(Server Gain)也必須提高使得流經致動器的控制電流增加。

亦即,當光碟機主軸馬達以高速旋轉時,流經功率驅動電路以及致動器的電流皆會增加。因此,致動器上的線圈以及功率驅動都電路會產生大量的熱,其會導致光碟機內部的溫度不斷地提昇。當光碟機長時間的讀/寫資料後,由於光碟機內部的溫度升高,可能導致雷射二極體燒





#### 五、發明說明 (2)

毀、或者光學讀寫頭中透鏡的鍍膜龜裂(Crack)、透鏡熔化、或者致動器焚毀(Burn Out)。

## 【發明內容】

發明目的係提出一種光學讀寫頭溫度偵測保護方法,用以防止光學讀寫頭的溫度持續增加導致光學讀寫頭及其機構燒毀。

## 【發明特徵】

本發明提出一種光學讀寫頭溫度偵測保護方法,包括下列步驟:當主軸馬達以第一轉速旋轉時,持續監視光學讀寫頭的溫度;以及,當光學讀寫頭的溫度與大於第一設定溫時,控制主軸馬達以第二轉速旋轉;其中,第二轉速小於第一轉速。

再者,本發明提出一種光學讀寫頭溫度偵測保護方法,包括下列步驟:當光碟機的主軸馬達旋轉時,監視光學讀寫頭的溫度升高至第一設定溫度時,降低主軸馬達之轉速。

再者,本發明提出一種光學讀寫頭溫度偵測保護方法,包括下列步驟:當光碟機的主軸馬達旋轉時,監視光學讀寫頭的溫度降低至第一



#### 五、發明說明 (3)

設定溫度時,降低主軸馬達之轉速。

為了使 貴審查委員能更進一步瞭解本發明特徵及技術內容,請參閱以下有關本發明之詳細說明與附圖,然而所附圖式僅提供參考與說明用,並非用來對本發明加以限制。

## 【發明實施方式】

一般來說,光碟機中的光學讀寫頭中會嵌入一個熱感測裝置(Thermistor)。因此,本發明即利用此熱感測裝置所偵測的溫度來作為光學讀寫頭過熱保護。請參考第1圖,其所繪示為本發明光學讀寫頭溫度偵測保護方法的狀態圖(State Diagram)第一實施例。

首先,先設定光碟機至少有三種狀態:主軸馬達以第一轉速旋轉狀態10、主軸馬達第二轉速旋轉狀態20、以及關閉光碟機並回覆失敗訊息狀態30。其中,第一轉度大於第二轉速,且第一轉速為光碟機正常讀寫時的轉速。

當光碟機處於主軸馬達第一轉速旋轉狀態10時,代表主軸馬達正以高倍速(例如48或52倍速)旋轉,此時,偵測光學讀寫頭的溫度(T)。當光學讀寫頭的溫度(T)大於第一設定溫度(T<sub>s</sub>1)時,進入主軸馬達第二轉速旋轉狀態20,使





#### 五、發明說明 (4)

得主軸馬達以較低轉速旋轉(例如24或32倍速)。當光學讀寫頭的溫度(T)大於光學讀寫頭的額定工作溫度(T<sub>rated</sub>)時,直接進入關閉光碟機並回覆失敗訊息狀態30。

當光碟機處於主軸馬達第二轉速旋轉狀態20時,代表主軸馬達正以低倍速(例如24或32倍速)旋轉時,此時,偵測光學讀寫頭的溫度(T)。當光學讀寫頭的溫度(T)低於第二設定溫度(Ts2)時,進入主軸馬達第一轉速旋轉狀態10,使得主軸馬達以較高轉速旋轉(例如48或52倍速)。當光學讀寫頭的溫度(T)大於光學讀寫頭的額定工作溫度(T<sub>rated</sub>)時,直接進入關閉光碟機並回覆失敗訊息狀態30。

根據本發明之實施例,光學讀寫頭的額定工作溫度 (Trated)係為60℃,第一設定溫度為55℃,而第二設定溫度為50℃。由於本發明持續監視光學讀寫頭的溫度,並且光學讀寫頭的溫度到達額定操作溫度前的第一設定溫度時即先行降低光碟機主軸馬達的轉速。如此,致動器的伺服增益可以降低,流經線圈的控制電流減少,使得線圈產生的熱量減少。同理,功率驅動電路輸出的電流必然也會減少,所以熱量的產生也可以有效地降低。

當主軸馬達係以低轉速旋轉時,持續監測光學讀寫頭的溫度。當光學讀寫頭的溫度介於第一設定溫度以及額定操作溫度之間時,仍以低轉速旋轉(例如24或32倍速)。當





#### 五、發明說明 (5)

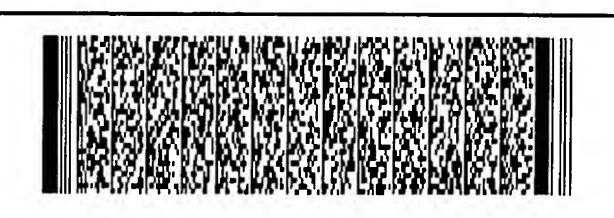
光學讀寫頭的溫度低於第二設定溫度時,即可提高光碟機的轉速至高倍速旋轉(例如48或52倍速)。當光學讀寫頭的溫度持續升高到達額定操作溫度時,關閉光碟機並回覆失敗訊息。如此,可以有效地防止光學讀寫頭溫度升高,並保護光學讀寫頭。

請參考第2圖,其所繪示為本發明光學讀寫頭溫度偵測保護方法的狀態圖(State Diagram)第二實施例。一般來說,光學讀寫頭的額定工作溫度也有一下限溫度(T<sub>rated</sub>),因此,本發明也可適用於低溫的情況。

首先,先設定光碟機至少有三種狀態:主軸馬達第一轉速旋轉狀態10、主軸馬達第二轉速旋轉狀態20、以及關閉光碟機並回覆失敗訊息狀態30。

當光碟機處於主軸馬達第一轉速旋轉狀態10時,代表主軸馬達正以高倍速(例如48或52倍速)旋轉時,此時,偵測光學讀寫頭的溫度(T)。當光學讀寫頭的溫度(T)低於第三設定溫度(T<sub>s</sub>3)時,進入主軸馬達第二轉速旋轉狀態20,使得主軸馬達以較低轉速旋轉(例如24或32倍速)。當光學讀寫頭的溫度(T)低於光學讀寫頭額定工作溫度的下限溫度(T<sub>rated</sub>))時,直接進入關閉光碟機並回覆失敗訊息狀態30。





#### 五、發明說明 (6)

當光碟機處於主軸馬達第二轉速旋轉狀態20時,代表主軸馬達正以低倍速(例如24或32倍速)旋轉時,此時,偵測光學讀寫頭的溫度(T)。當光學讀寫頭的溫度(T)高於第四設定溫度(T<sub>s</sub>4)時,進入主軸馬達第一轉速旋轉狀態10,使得主軸馬達以較高轉速旋轉(例如48或52倍速)。當光學讀寫頭的溫度(T)低於光學讀寫頭的額定工作溫度的下限溫度(T<sub>rated</sub>))時,直接進入關閉光碟機並回覆失敗訊息狀態30。

根據本發明之實施例,光學讀寫頭的額定工作溫度的下限溫度 $(T_{rated}')$ 係為5  $\mathbb C$  ,第一設定溫度為10  $\mathbb C$  ,而第二設定溫度為15  $\mathbb C$  。

再者,本發明的第一設定溫度(55°C)、第二設定溫度(50°C)、第三設定溫度(10°C)、與第四設定溫度(15°C)皆為本發明的實施例。使用者可根據實際的狀況來設定溫度,本發明並未限定第一設定溫度、第二設定溫度、第三設定溫度與第四設定溫度。

因此,本發明的優點係提出一光學讀寫頭溫度偵測保護方法,其利用熱感測裝置持續監視光學讀寫頭的溫度,並在光學讀寫頭的溫度大於第一設定溫度,降低功率驅動電路的輸出電流並且降低控制致動器的伺服器增益,用以使得光碟機主軸馬達的轉速降低。再者,由於光碟機主軸



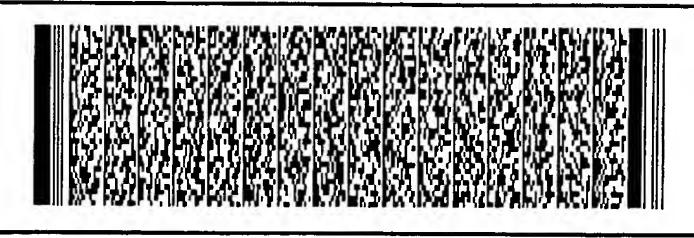


#### 五、發明說明 (7)

馬達轉速已經,因此降低可有效地降低光學讀寫頭的溫度。再者,當光學讀寫頭的溫度低於第三設定溫度,降低功率驅動電路的輸出電流並且降低控制致動器的伺服器增益,用以防止光學讀寫頭燒錄的品質不佳。

本發明的另一優點係提出一光學讀寫頭溫度偵測保護方法,其可有效地防止光學讀寫頭的溫度持續地增加或者持續地降低,導致光碟機無法順利操作。

綜上所述,雖然本發明已以較佳實施例揭露如上,然 其並非用以限定本發明,任何熟習此技藝者,在不脫離本 發明之精神和範圍內,當可作各種之更動與潤飾,因此本 發明之保護範圍當視後附之申請專利範圍所界定者為準。



### 圖式簡單說明

第1圖其所繪示為本發明光學讀寫頭溫度偵測保護方法之第一實施例。

第2圖其所繪示為本發明光學讀寫頭溫度偵測保護方法之第二實施例。

## 【圖號說明】

- 10主軸馬達高速旋轉
- 20主軸馬達低速旋轉
- 30關閉光碟機並回覆失敗訊息



#### 六、申請專利範圍

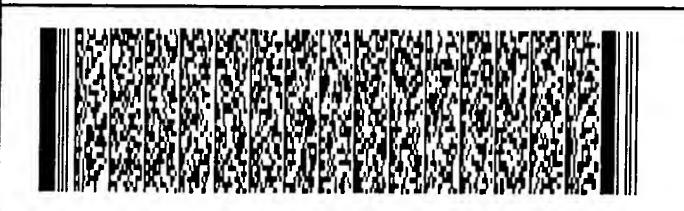
1. 一種光學讀寫頭溫度偵測保護方法,包括下列步驟:

當一主軸馬達以一第一轉速旋轉時,持續監視一光學讀寫頭的溫度;以及

當該光學讀寫頭的溫度與大於一第一設定溫時,控制該主軸馬達以一第二轉速旋轉;

其中該第二轉速小於該第一轉速。

- 2. 如申請專利範圍第1項所述之光學讀寫頭溫度偵測保護方法,其中一熱感測裝置係用來監視該該光學讀寫頭的溫度。
- 3. 如申請專利範圍第1項所述之光學讀寫頭溫度偵測保護方法,其中當該光學讀寫頭的溫度到達一額定操作溫度時,關閉該主軸馬達。
- 4. 如申請專利範圍第1項所述之光學讀寫頭溫度偵測保護方法,其中更包括該主軸馬達以該第二轉速旋轉時,當該光學讀寫頭的溫度低於一第二設定溫度時,控制該主軸馬達以該第一轉速旋轉。
- 5. 如申請專利範圍第4項所述之光學讀寫頭溫度偵測保護方法,其中該第一設定溫度大於該第二設定溫度。



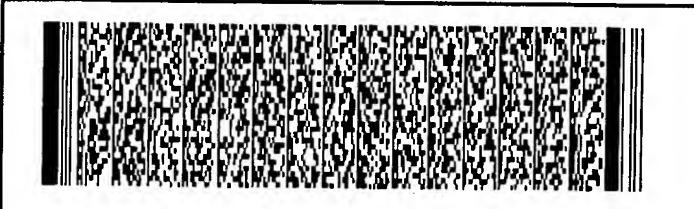
#### 六、申請專利範圍

6.一種光學讀寫頭溫度偵測保護方法,包括下列步驟:

當一光碟機的一主軸馬達旋轉時,監視一光學讀寫頭的溫度;以及

當該光學讀寫頭的溫度升高至一第一設定溫度時,降低該主軸馬達之轉速。

- 7. 如申請專利範圍第6項所述之光學讀寫頭溫度偵測保護方法,其中一熱感測裝置係用來監視該光學讀寫頭的溫度。
- 8. 如申請專利範圍第6項所述之光學讀寫頭溫度偵測保護方法,其中當該光學讀寫頭的溫度到達一額定操作溫度時,關閉該光碟機。
- 9. 如申請專利範圍第6項所述之光學讀寫頭溫度偵測保護方法,其中更包括該主軸馬達以低轉速旋轉時,當該光學讀寫頭的溫度低於一第二設定溫度時,提高該主軸馬達的轉速。
- 10. 如申請專利範圍第9項所述之光學讀寫頭溫度偵測保護方法,其中該第一設定溫度大於該第二設定溫度。
  - 11. 一種光學讀寫頭溫度偵測保護方法,包括下列步



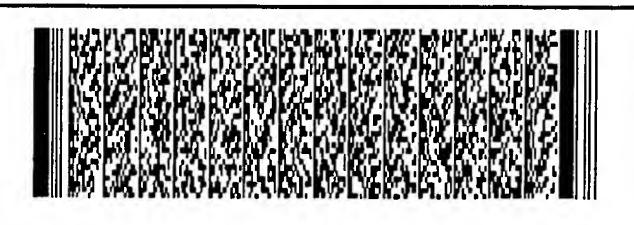
#### 六、申請專利範圍

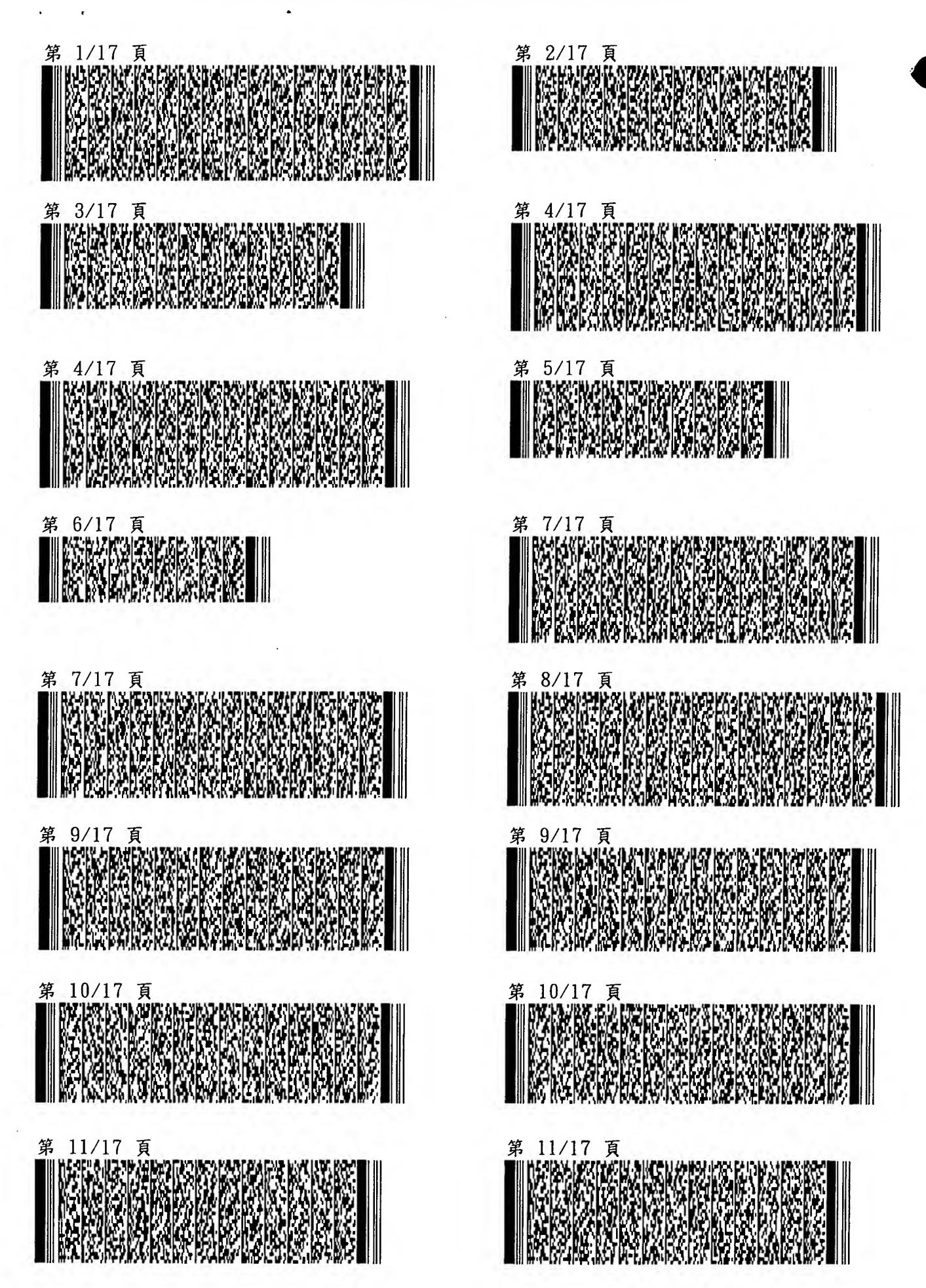
#### 驟:

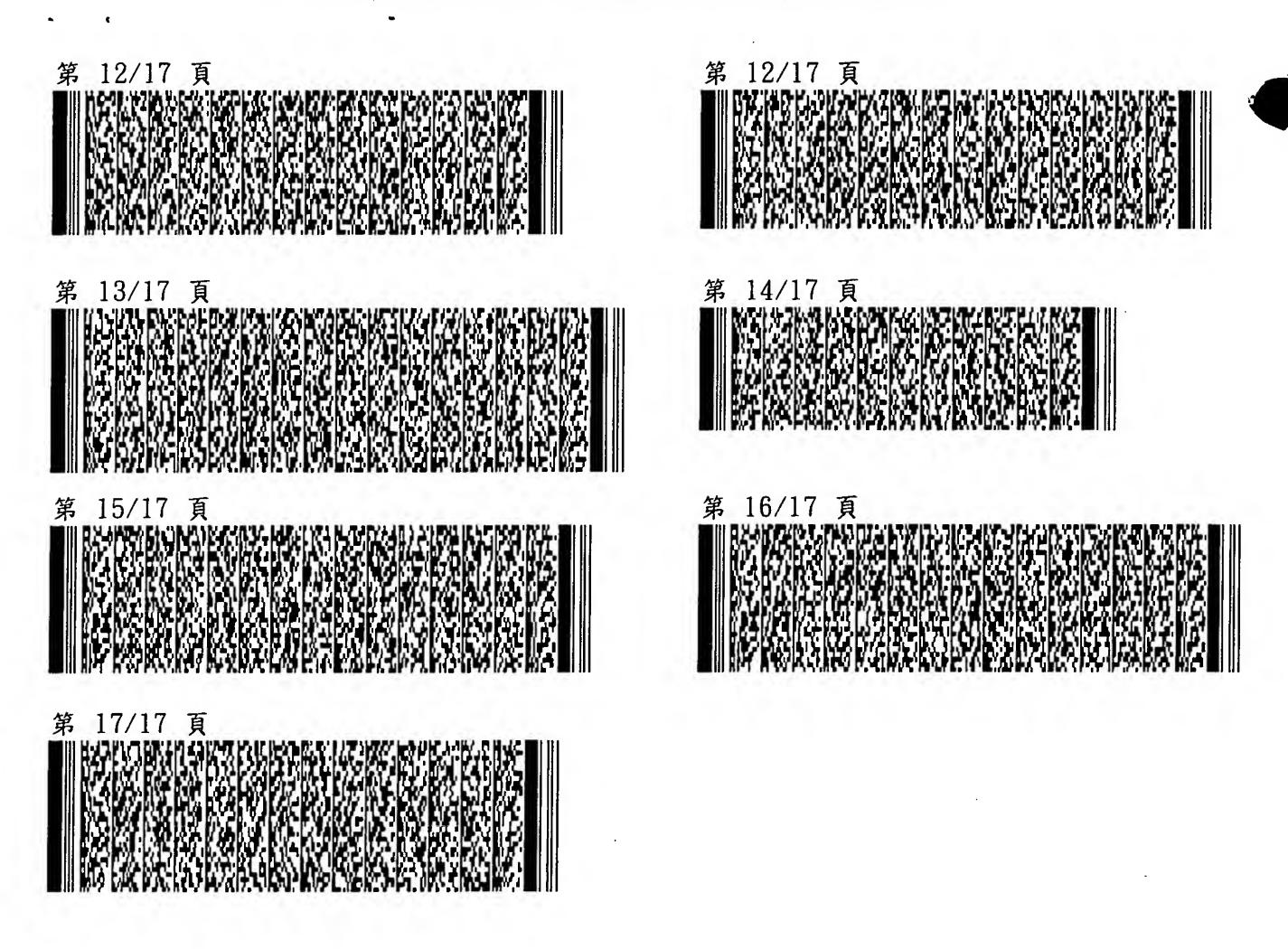
當一光碟機的一主軸馬達旋轉時,監視一光學讀寫頭的溫度;以及

當該光學讀寫頭的溫度降低至一第一設定溫度時,降低該主軸馬達之轉速。

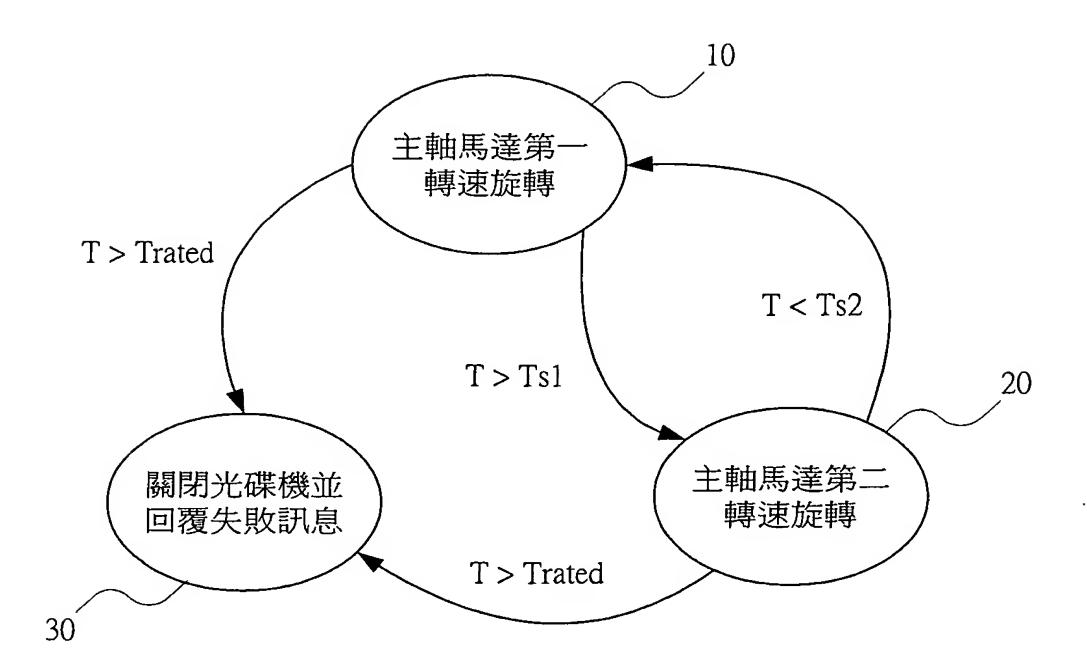
- 12. 如申請專利範圍第11項所述之光學讀寫頭溫度偵測保護方法,其中一熱感測裝置係用來監視該光學讀寫頭的溫度。
- 13. 如申請專利範圍第11項所述之光學讀寫頭溫度偵測保護方法,其中當該光學讀寫頭的溫度降低至一額定操作溫度的下限溫度時,關閉該光碟機。
- 14. 如申請專利範圍第11項所述之光學讀寫頭溫度偵測保護方法,其中更包括該主軸馬達以低轉速旋轉時,當該光學讀寫頭的溫度高於一第二設定溫度時,提高該主軸馬達的轉速。
- 15. 如申請專利範圍第14項所述之光學讀寫頭溫度偵測保護方法,其中該第一設定溫度低於該第二設定溫度。



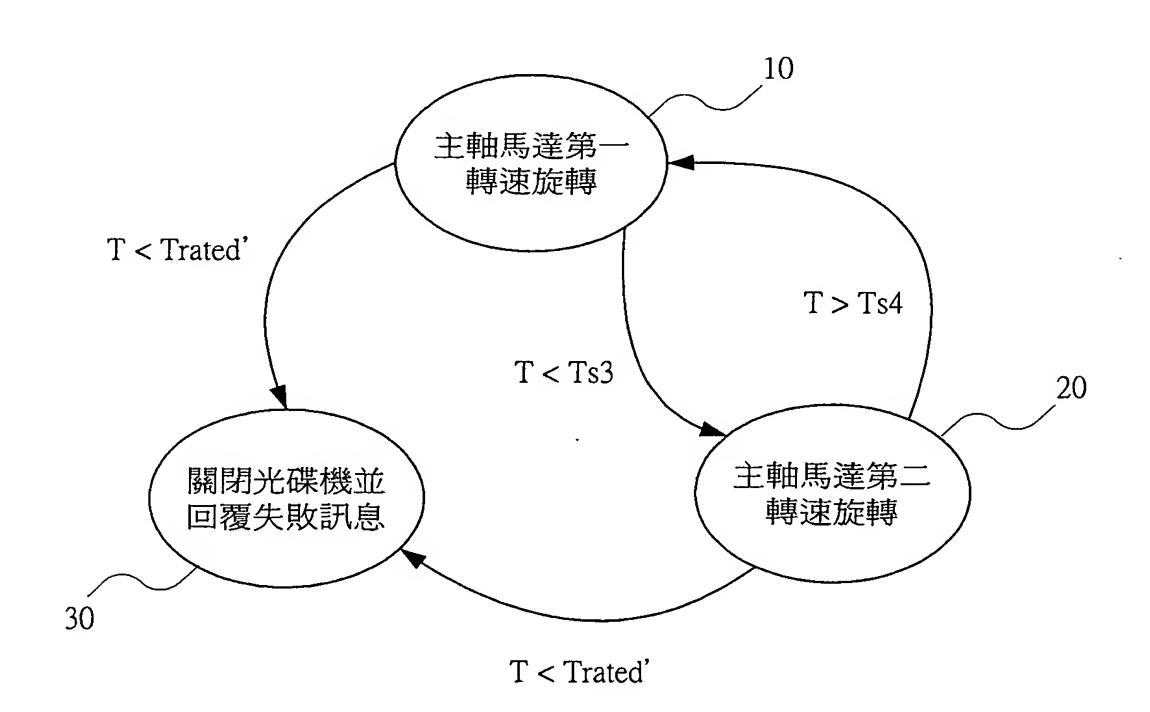








# 第 1 圖



第 2 圖

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